



Internal Controls: understandings the relationship between process, risk and controls



Dear all

We are delighted to invite you to a seminar on **organisational process and controls** specifically tailored for our Japanese clients. The seminar is scheduled to take place on 11 July 2024 at DIA PARK PREMIER and presents a valuable opportunity for Japanese organisations to enhance their understanding about organisational processes, risks and control systems and frameworks.

Although process and controls may seem like an unlikely starting point, they comprise one of the most basic requirements for responding to risks that organisations face in their day-to-day operations today, such as fraud, cyberattacks or data breaches. More than 20 years have passed since the introduction of the US Sarbanes-Oxley Act (SOX), and 16 have passed since the introduction of J-SOX. Owing to such long periods, organisational process and controls frameworks have become a mere formality or lost relevance altogether. Specialists with experience in establishing and restructuring processes and controls will thus propose subsequent improvements, draft appropriate fraud responses and chalk out ways to combat cyberattacks for Indian organisations.

The seminar will act as a platform to connect with fellow professionals from Japanese companies, as well as PwC professionals, thus fostering valuable cross-cultural exchanges and partnerships. We will be discussing relevant examples and case studies for the same, in order to provide actionable takeaways for your organisations.

Event details

Agenda	
3:30 to 3:50pm	Introduction and context setting
3:50 to 4:15pm	Understanding process risks and controls
4:15 to 4:40pm	Understanding IT general controls and segregation of duties
4:40 to 5:00pm	Tea break
5:00 to 5:30pm	Regulatory requirements in India for organisational process and controls
5:30 to 5:50pm	Q&A
5:50 to 6:00pm	Closure and survey
6:00 onwards	Cocktails and dinner

Date: 11 July 2024	Time: 3:30pm onwards
Venue: DIA PARK PREMIER (353-357, Sector 29, City Centre Gurgaon 122001, Haryana)	RSVP: Tomoyuki Fukuhara +91-7428539941 tomoyuki.f.fukuhara@pwc.com Abhishek Nathan +91-9818333499 abhishek.nathan@pwc.com

We look forward to meeting you at the event.

Warm regards,
PwC India

In this document, "PwC" refers to PricewaterhouseCoopers Private Limited (a limited liability company in India having Corporate Identity Number or CIN : U74140WB1983PTC036093), which is a member firm of PricewaterhouseCoopers International Limited (PwCIL), each member firm of which is a separate legal entity.

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内部統制基礎セミナー



お客様各位

平素よりお世話になっております。PwC インド日系企業部です。



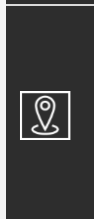

2024年7月11日に DIA PARK PREMIER にて、内部統制の基礎セミナーを開催いたします。当日は、プロセス、リスク、コントロールの関係を整理し、内部統制の考え方を基礎から解説いたします。

内部統制は対応が必要なものの、どこから手をつけてよいのか悩ましい領域かもしれません。しかし、不正、サイバーセキュリティ、データ保護などのリスクに対応するための基本的な枠組みです。US SOX の導入から 20 年以上、J-SOX の導入から 16 年以上が経過し、多くの企業でプロセスとコントロールのフレームワークは形骸化しています。

このセミナーでは、プロセスとコントロールの構築や再構築、その後の改善、不正対応、サイバー攻撃への対応など、様々な経験を持つ専門家が、日本、インド含む海外での経験に基づいて、プロセスとコントロールにおける懸念点、および対処する必要がある課題について説明いたします。

セミナーの詳細

アジェンダ	
3:30 to 3:50pm	オープニング（セミナーの内容説明、メンバーの紹介）
3:50 to 4:15pm	プロセス、リスク及びコントロールの理解
4:15 to 4:40pm	IT 全般統制、職務分掌の理解
4:40 to 5:00pm	休憩
5:00 to 5:30pm	内部統制に関するインドでの法令/規制
5:30 to 5:50pm	Q&A
5:50 to 6:00pm	クロージング
6:00 onwards	懇親会

	2024年7月11日（木）		3:30pm から
	DIA PARK PREMIER (353-357, Sector 29, City Centre Gurgaon 122001, Haryana)		連絡先 福原 智之 +91-7428539941 tomoyuki.f.fukuhara@pwc.com Abhishek Nathan +91-9818333499 abhishek.nathan@pwc.com

ご参加登録は[こちら](#)にてお願いします。

Google Form にアクセスできない場合、お手数ですが、上記連絡先にご連絡ください。

皆様のご参加を心よりお待ちしております。

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